

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Errol O. KENDALL et al.

GROUP ART UNIT: TBA

SERIAL NUMBER: 10/024,585

EXAMINER: TBA

FILED: December 21, 2001

FOR: SYSTEM FOR APPRAISING LIFE INSURANCE AND ANNUITIES

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents  
Washington, D.C. 20231

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Sir:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The Applicant(s) wish to make of record the references listed on the attached Form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR § 1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending applications or issued patents which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR § 1.17(p).

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

PETITION

- ☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR § 1.17(i)(1).

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account No. 50-0911. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

MCKENNA LONG & ALDRIDGE LLP

*for John M Kelly, 33,920*  
Song K. Jung  
Registration No. 35,210

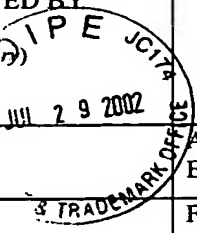
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July 29, 2002



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PATENT TRADEMARK OFFICE

Form PTO 1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)  Date: July 29, 2002	Attorney Docket No. 1986.004.00	Application No. 10/024,585
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Applicant Errol O. Kendall et al.	Filing Date December 21, 2001	Group TBA
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2001/0023404 A1	9/2001	Ogawa et al.	705	4	February 28, 2001
	6,332,129 B1	12/2001	Walker et al.	705	26	December 4, 1998
	5,758,328	5/1998	Giovannoli	705	26	February 22, 1996

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\*English-language abstract provided.